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Attorney Docket: SURR.86

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: NATAN

SERIAL NO.: 10/056,808

FILED: JANUARY 25, 2002

TITLE: SURFACE-ENHANCED SPECTROSCOPY-  
ACTIVE SANDWICH NANOPARTICLES

EXAMINER: Snay

S.S.Oa

ART UNIT: 1743

CONFIRMATION NO.: 9379

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies enclosed, which may be material to examination of the above identified application.

TIME OF TRANSMITTAL

This Information Disclosure Statement is being filed under 37 CFR § 1.97(b). This Statement is filed within at least one of the following time periods:

- (a) within three months of the filing date of a national application (other than a CPA under 37 CFR § 1.53(d));
- (b) within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application;
- (c) before the mailing of a first Office Action of the merits; or
- (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114.

No fee is believed to be due in this instance. However, the undersigned hereby authorizes the charging of any fees created by the filing of this document to Deposit Account No. 19-5117.

37 CFR 1.8

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231 on 3/5/02

Signature:

Name: Tasha L. Cove

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. This listed patents and publications are believed of interest herein and consideration and citation of as interest by Examiner is respectfully requested.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read 'Darla G. Yoerg', is written over a horizontal line.

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FORM PTO-1449

U.S. DEPARTMENT OF  
COMMERCE PATENT  
AND TRADEMARK  
OFFICE

List of Information Cited by Applicant

Page 1 of 1

ATTY. DOCKET NO.

SURR.86

SERIAL NO.

10/056,808

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NATAN

FILING DATE

JANUARY 25, 2002

GROUP

## U.S. PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
	AA	09/378,259	8/20/99	Dietz et al.			
	AB	09/558,094	4/26/00	Dietz et al.			
	AC	09/598,395	6/20/00	Natan & Mallouk			
	AD	09/676,890	10/2/00	Natan et al.			
	AE	09/677,198	10/2/00	Natan & Mallouk			
	AF	09/677,203	10/2/00	Natan et al.			
	AG	09/680,782	10/6/00	Natan			
	AH	09/969,518	10/02/01	Stonas et al.			
	AI	6,149,868	11/21/00	Natan et al.			

## FOREIGN PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS	TRANS ?
	AJ	WO 01/25758	04/12/01	PCT			
	AK						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AL	Ascencio et al., <i>Surface Science</i> (2000) 447: 73-80
	AM	Brazdil & Yeager, <i>J. Phys. Chem.</i> (1981) 85: 995-1004
	AN	Byahut & Furtak, <i>Langmuir</i> (1991) 7: 508-513
	AO	Dhere et al., <i>Ultramicroscopy</i> (1985) 18: 415-418
	AP	Duff et al., <i>Angew. Chem. Int. Ed. Eng.</i> (1987) 26: 676-678
	AQ	Hall et al., <i>Langmuir</i> (2000) 16: 1454-1456
	AR	Jin et al., <i>Science</i> (2001) 294: 1901-1903
	AS	Kovtyukhova et al., <i>J. Phys. Chem. B</i> (2001) 105: 8762-8769
	AT	Liz-Marzán et al., <i>Langmuir</i> (1996) 12: 4329-4335
	AU	Michaels et al., <i>J. Phys. Chem. B</i> (2000) 104: 11965-11971
	AV	Michaels et al., <i>J. Am. Chem. Soc.</i> (1999) 121: 9932-9939
	AW	Ni et al., <i>Anal. Chem.</i> (1999) 71: 4903-4908
	AX	Sun et al., <i>J. Mater. Sci.</i> (2000) 35: 1097-1103
	AY	Switzer et al., <i>J. Am. Chem. Soc.</i> (1998) 120: 3530-3531
	AZ	Ung et al., <i>Langmuir</i> (1998) 14: 3740-3748

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.